

TO: Board of Regents  
 FROM: Deborah Kraft  
 DATE: September 17, 2017  
 SUBJECT: Investment Transactions Report

Report Date: August 31, 2017

Investment Position:

As of August 31, 2017, Alvin Community College had \$ 8,700,000 invested in five certificates of deposit purchased through First National Bank-Alvin, one certificate of deposit purchased through BBVA Compass Bank, one certificate of deposit purchased through Texas Advantage Bank and two certificates of deposit purchased through the CDARS program using Texas Advantage Bank.

Summary:

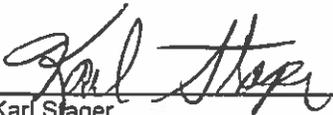
Beginning market value		6/1/2017	\$	11,977,043
Additions/changes to the market value:				
	a.	sales of CDs		(10,977,043)
	b.	purchases of CDs, value at 5/31/17		7,700,000
Ending market value		8/31/2017	\$	8,700,000
Fully accrued interest			\$	18,133

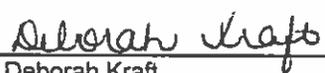
Pooled Funds:

The \$ 8,700,000 currently invested was taken from the following major fund groups:

\$	8,700,000	General Fund	11
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We certify that the investments made during this reporting period are in compliance with Alvin Community College's Investment Policy and the Texas Government Code.

  
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**ALVIN COMMUNITY COLLEGE**  
Investment Schedule

Below is a list of Alvin Community College's investments for the period June 1, 2017 to August 31, 2017. All securities have been purchased according to the investment policy approved by the Board of Regents at the August 25, 2016 board meeting.

CD No.	Purchase Date	Due Date	Interest Rate	Term	Days Held	Principal	Interest Earned	Accrued Interest	Total
<b>BEGINNING INVESTMENTS:</b>									
6737454744	04/06/16	04/06/18	1.242%	730		250,000			250,000
520890	02/21/17	02/21/20	1.250%	1095		250,000			250,000
1019937913	02/23/17	02/20/20	1.250%	1092		250,000			250,000
1017566829	02/19/15	02/15/18	1.100%	1092		250,000			250,000
200000328	02/03/17	06/06/17	0.625%	123		2,000,000			2,000,000
200000329	02/10/17	06/26/17	0.640%	136		2,000,000			2,000,000
200000331	03/06/17	07/06/17	0.625%	122		1,600,000			1,600,000
200000335	04/07/17	07/26/17	0.900%	110		2,750,000			2,750,000
200000342	05/05/17	07/13/17	0.955%	69		327,043			327,043
200000343	05/05/17	08/03/17	0.955%	90		1,300,000			1,300,000
200000347	05/25/17	08/28/17	1.030%	95		1,000,000			1,000,000
<b>Subtotal for CD Investments for Beginning of the Period</b>						<b>\$ 11,977,043</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ 11,977,043</b>
<b>SALES:</b>									
200000328	02/03/17	06/06/17	0.625%	123		2,000,000	4,212		2,004,212
200000329	02/10/17	06/26/17	0.640%	136		2,000,000	4,769		2,004,769
200000331	03/06/17	07/06/17	0.625%	122		1,600,000	3,342		1,603,342
200000335	04/07/17	07/26/17	0.900%	110		2,750,000	7,459		2,757,459
200000342	05/05/17	07/13/17	0.955%	69		327,043	590		327,633
200000343	05/05/17	08/03/17	0.955%	90		1,300,000	3,061		1,303,061
200000347	05/25/17	08/28/17	1.030%	95		1,000,000	2,681		1,002,681
<b>Total Sales</b>						<b>10,977,043</b>	<b>26,115</b>	<b>-</b>	<b>11,003,158</b>
<b>PURCHASES:</b>									
200000348	06/06/17	08/31/17	1.090%	86		2,500,000			2,500,000
200000351	06/26/17	08/31/17	1.120%	66		1,000,000			1,000,000
200000353	07/07/17	09/01/17	1.155%	56		1,700,000			1,700,000
200000356	07/28/17	09/25/17	1.160%	59		1,400,000			1,400,000
200000357	08/03/17	10/05/17	1.180%	63		1,100,000			1,100,000
<b>Total Purchases</b>						<b>7,700,000</b>	<b>-</b>	<b>-</b>	<b>7,700,000</b>
<b>ENDING INVESTMENTS:</b>									
6737454744	04/06/16	04/06/18	1.242%	730	91	250,000		774	250,774 <sup>a</sup>
520890	02/21/17	02/21/20	1.250%	1095	91	250,000		779	250,779 <sup>b</sup>
1019937913	02/23/17	02/20/20	1.250%	1092	91	250,000		779	250,779 <sup>c</sup>
1017566829	02/19/15	02/15/18	1.100%	1092	91	250,000		686	250,686 <sup>c</sup>
200000348	06/06/17	08/31/17	1.090%	86	86	2,500,000		6,421	2,506,421 <sup>d</sup>
200000351	06/26/17	08/31/17	1.120%	66	66	1,000,000		2,025	1,002,025 <sup>d</sup>
200000353	07/07/17	09/01/17	1.155%	56	56	1,700,000		3,012	1,703,012
200000356	07/28/17	09/25/17	1.160%	59	59	1,400,000		2,625	1,402,625
200000357	08/03/17	10/05/17	1.180%	63	29	1,100,000		1,031	1,101,031
<b>Total for End of Period for CD Investments</b>						<b>\$ 8,700,000</b>	<b>\$ -</b>	<b>\$ 18,133</b>	<b>\$ 8,718,133</b>

<sup>a</sup> BBVA Compass Bank

<sup>b</sup> Texas Advantage Bank

<sup>c</sup> Texas Advantage CDARS (First National Bank of Michigan, Mutual of Omaha Bank, Morton Community Bank and Peoples Security Bank & Trust Co.)

<sup>d</sup> Because of Hurricane Harvey, investment was not sold as of 8/31/17

**ALVIN COMMUNITY COLLEGE FOUNDATION**  
**Investment Schedule**

Below is a list of Alvin Community College Foundation's investments for the period June 1, 2017 through August 31, 2017. All securities have been purchased according to the investment policy approved by the ACC Foundation Board of Directors at the February 17, 2015 board meeting.

<b>BEGINNING INVESTMENTS 6/1/17</b>	<b>\$</b>	<b>2,736,602</b>
<b>Increases In Account</b>		
Deposits		2,200
Sales Proceeds/Redemptions		-
Dividends		14,513
Interest		1
Capital Gains		-
Securities Purchased		14,513
Positive Change in value of priced securities		53,165
<b>Decreases in Account</b>		
Fees		(6,254)
Cash Withdrawals		(2,100)
Funds Used to Purchase Securities		(14,513)
Securities sold/redeemed		-
Negative Change in value of priced securities		<u>(2,297)</u>
<b>ENDING INVESTMENTS 8/31/17</b>	<b>\$</b>	<b><u>2,795,830</u></b>